

Fisheries and Oceans
CanadaPêches et Océans
Canada

Deputy Minister

Sous-ministre

PROTECTED A

2018-014-00307

XREF 2018-014-00306

EKME #: 3988693

NOV 21 2018

MEMORANDUM FOR THE MINISTER**PX DRAFT: PERFORMANCE AUDIT OF REGULATING
MINING EFFLUENT UNDER THE *FISHERIES ACT*
(FOR INFORMATION)****SUMMARY OF ADVICE TO MINISTER**

The purpose of this note is to provide you with information in regards to the Office of the Commissioner of the Environment and Sustainable Development's (CESD) Principal's (PX) draft report for the Performance Audit of Regulating Mining Effluent under the *Fisheries Act* (Tab 1), and to offer you a briefing on this audit, if required.

The CESD audit report is expected to be tabled in Parliament in the spring of 2019.

BACKGROUND

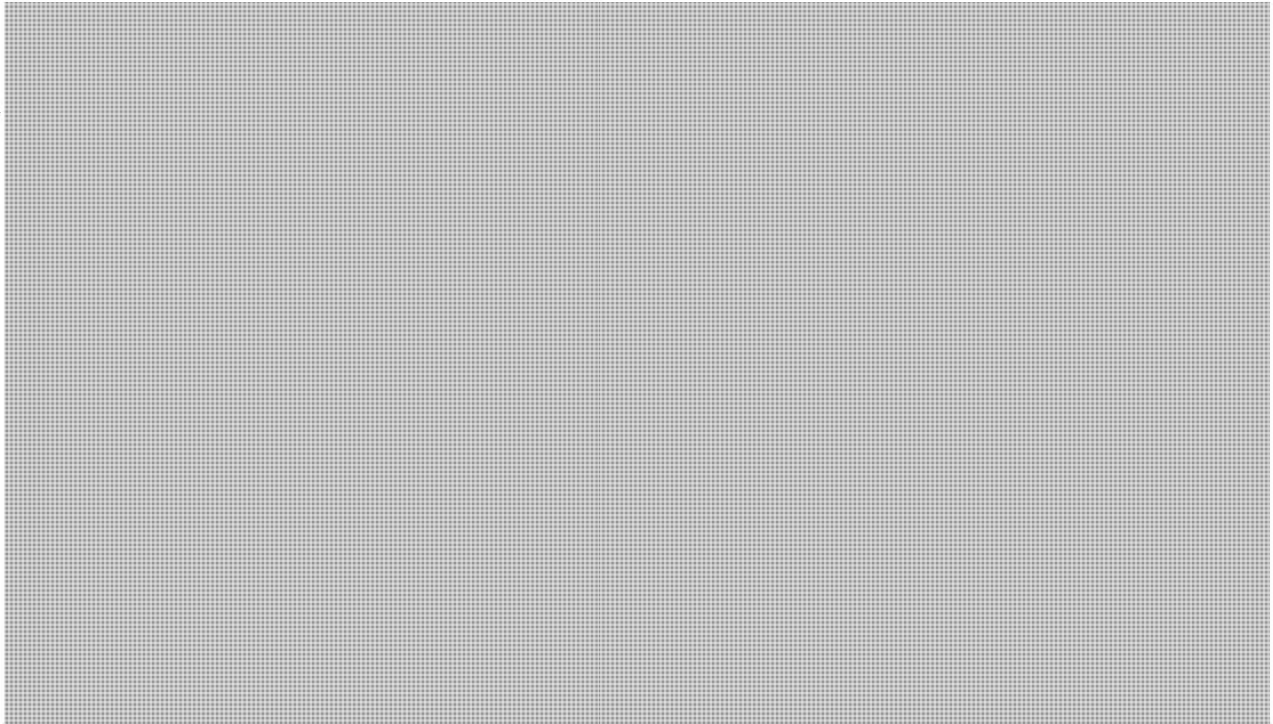
In accordance with the Treasury Board guidance with respect to the engagement of ministers on audits performed by the Office of the Auditor General (OAG) and the Commissioner of the Environment and Sustainable Development (CESD), and the related departmental protocol, the purpose of this memo is to provide information on the Performance Audit of Regulating Mining Effluent under the *Fisheries Act*, and to offer you a briefing on this audit, if required.

On January 25, 2018, the Commissioner of the Environment and Sustainable Development (CESD) advised the Department via an intent letter that they will be conducting a *Performance Audit of Effluent Regulations*, for tabling in the Spring 2019 Report of the CESD. The title of the audit was subsequently updated to *Performance Audit of Regulating Mining Effluent under the Fisheries Act*.



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The audit report is expected to be tabled in Parliament in the spring of 2019.

STRATEGIC CONSIDERATIONS

In anticipation of the release of the PX draft, the CESD audit team met with DFO officials on September 26, 2018, to provide an overview of the report's preliminary findings and gaps to be addressed.

A meeting between DFO and ECCC sector representatives also took place on November 13, 2018, to discuss these findings and to prepare appropriate responses to the recommendations that require joint input in advance of a formal written response.

The Internal Audit Directorate coordinated the preparation of comments with the relevant sectors, and also sought advice and comments from the Departmental Audit Committee's (DAC) external members.

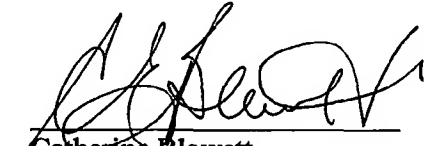
The sectors agreed with the findings and concurred with the validity and completeness of the facts as expressed in the PX draft, submitting only minor suggestions to some of the wording for clarification.

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ADVICE AND RECOMMENDATIONS TO MINISTER

Departmental officials and the Internal Audit Directorate are available to provide a briefing on this audit, if required. It is recommended that your office inform the Internal Audit Directorate should you require such briefing.

The final CESD report is expected to be tabled in Parliament in the spring of 2019.


Catherine Blewett
Deputy Minister

Kevin Stringer
Associate Deputy Minister

Attachment (1)

1. CESD – PX Draft (OAG Controlled Document – to be returned to IAD)

TAB 1

OAG CONTROLLED DOCUMENT NO COPY PERMITTED

**DOCUMENT CONTRÔLÉ PAR LA BVG – AUCUNE COPIE
AUTORISÉ**

Reports of the Commissioner of the Environment and Sustainable Development to the Parliament of Canada

Independent Auditor's Report

PX DRAFT

Regulating Mining Effluent under the *Fisheries Act*

5 November 2018

This draft is an OAG controlled document. The document and the information contained herein are Protected A unless otherwise specified, and are to be kept in strict confidence.

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DOCUMENT DATE AND CONTROL NUMBER/DATE ET NUMÉRO DU DOCUMENT

Nov. 6/18
DATE

17-751

V.2017

RETURN TO OAG ONE (1) WEEK AFTER TABLING IN PARLIAMENT
RETOURNEZ AU BVG UNE (1) SEMAINE APRÈS LE DÉPÔT AU PARLEMENT



Office of the
Auditor General
of Canada

Bureau du
vérificateur général
du Canada



Fisheries and Oceans Canada
Correspondence Routing Slip

Fiche d'acheminement de correspondance
Pêches et Océans Canada

(Received in DMO)

NOV 20 2018

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PROTECTED A
GCCMS #: 2018-014-00307
XREF 2018-014-00306
EKME #: 3988693
File / Dossier AC-1056-2018-8/E001

To: Catherine Blewett
Pour:

Date:

Object: **PX DRAFT: PERFORMANCE AUDIT OF REGULATING MINING EFFLUENT**
Objet: **UNDER THE FISHERIES ACT**

From / De: Nicole Primeau, Chief Audit Executive

NOV 20 2018

Via:

Additional approvals:
Autre(s) approbation(s):

☒ Material for the Minister
Documents pour le Ministre

☐ Your Signature
Votre signature

☒ Information

Remarks: This briefing note was developed by Internal Audit Directorate.
Remarques:

Distribution: Philippe Morel, Assistant Deputy Minister, Aquatic Ecosystems
Sylvie Lapointe, Assistant Deputy Minister, Fisheries and Harbour
Management
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Science
Stephen Sharzer, Senior General Counsel, Legal Services
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Drafting Officer/
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Cassandra Laveaux (613-327-5099)/ S. Coache/ st

20/11/18
14:26 PM

Docket # : 2018-014-00307
Xref # :
Date Created : 19/11/18

HISTORY REPORT

Lead : NCR_IA
ILO : NCR_MINO
ILO Due :

Subject : PX Draft - Performance Audit of Regulating Mining Effluents under the Fisheries Act

Instructions:

Assigned By	Assigned To	Task	Assign Date	Deadline	Completed date
014	NCR_IA	PRCSS	19/11/18		
014	NCR_AE_ADMO	INFO	20/11/18		
014	NCR_FHM_ADMO	INFO	20/11/18		
014	NCR_EOS	INFO	20/11/18		
014	NCR_LEGAL	INFO	20/11/18		
014	NCR_SP	INFO	20/11/18		
014	NCR_DM	SIGN	20/11/18		

Note: Will hand deliver shortly, for MINO information-the OAG controlled document: PA of Rregulating Mining Effluent (PX Draft) # 17-751 is under TAB 3 of docket 2018-014-00306

Attachments

Signed by CAE-PX Draft - Performance Audit of Regulating Mining Effluents under the Fisheries Act.PDF

NOV 20 2018 - to Samia